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Criminal accountability of United Nations officials and experts on mission

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Report of the Secretary-General

Summary

The present report has been prepared pursuant to paragraphs 30 and 31 of General Assembly resolution 72/112. Section II contains a summary of information received from Governments on the extent to which jurisdiction over their nationals while serving as United Nations officials or experts on mission is established under their national laws. Section III provides a summary of the information received from Governments on the handling of credible allegations that reveal that a crime may have been committed by United Nations officials or experts on mission by States against whose nationals such allegations are made, and matters relating thereto. Section IV provides a summary of the information on cooperation among States and with the United Nations in the exchange of information and the facilitation of investigations and prosecution of such individuals, as well as the protection of victims and witnesses in this process. Section V contains a summary of information on vetting by Member States contributing personnel to the United Nations. Section VI provides a summary of the comments received from Governments on the report of the Group of Legal Experts on ensuring the accountability of United Nations staff and experts on mission with respect to criminal acts committed in peacekeeping operations (A/60/980), including on the question of future action. Sections VII and VIII relate to information on activities within the Secretariat.

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I. Introduction

1. The present report has been prepared pursuant to General Assembly resolution 72/112, in which the Assembly requested the Secretary-General to report on the implementation of the resolution, in particular with respect to paragraphs 10, 12, 13, 15, 18 and 20 thereof, as well as any practical problems in its implementation, on the basis of information received from Governments and the Secretariat. The present report contains summaries of the information received from Governments and from the Secretariat further to the aforementioned paragraphs of resolution 72/112. The full texts of the information received from Governments will be made available from the website of the Sixth Committee (www.un.org/en/ga/sixth/).

2. Information was received from the following States: Belgium, Bosnia and Herzegovina, Bulgaria, Finland, Germany, Greece, Latvia, Mexico, Montenegro, the Netherlands, Qatar, Switzerland, Turkey and the United Kingdom of Great Britain and Northern Ireland.

3. Section II concerns information received relating to the criminal accountability of United Nations officials and experts on mission, pursuant to paragraph 10 of resolution 72/112. Section III concerns information received pursuant to paragraphs 18 to 20 of the resolution, while section IV covers information received under paragraph 12. Section V provides information relating to paragraph 13 of the resolution and section VI relates to information regarding paragraph 15.

4. Sections VII and VIII relate to activities undertaken within the Secretariat in the implementation of paragraphs 6, 13, 14, 18 to 23 and 31 of the resolution.

5. The present report should be read together with the other report of the Secretary-General issued at the seventy-third session of the General Assembly under the item on criminal accountability of United Nations officials and experts on mission (A/73/128), as well as previous reports of the Secretary-General under that item.[1] As noted in previous reports of the Secretary-General, the present report, coupled with the work of the Sixth Committee, is but one aspect of the work of the Organization to address issues of accountability within the United Nations. Different reporting mechanisms apply with respect to questions of accountability within the Organization which might bear on questions of criminal accountability of United Nations officials and experts on mission. As noted in previous reports (see, for example, A/72/205), other reports prepared pursuant to other mandates may be relevant to the present item.[2]

II. Establishment of jurisdiction over crimes of a serious nature

Belgium

6. Belgium noted that it does not have specific rules or provisions on jurisdiction over United Nations officials and experts on mission or over Belgian nationals while serving as United Nations officials or experts on mission. Belgium stated that the rules of ordinary law applicable to Belgian nationals, based on territorial and extraterritorial jurisdiction, might apply to such cases. Belgium also stated that those rules apply, with the reservation for immunities and jurisdictional privileges binding on Belgium, under international law and, at the national level, under article 1 bis of the preliminary section of the Code of Criminal Procedure. Belgium noted that

[1] A/72/205, A/71/167, A/70/208, A/69/210, A/68/173, A/67/213, A/66/174 and A/66/174/Add.1, A/65/185, A/64/183 and A/64/183/Add.1, and A/63/260 and A/63/260/Add.1.

[2] See, for instance, A/73/71, A/72/751 and A/72/751/Corr.1, A/72/773 and A/72/885.

persons subject to Belgian military laws, persons attached in any capacity to an army detachment serving in foreign territory or persons authorized to accompany military units forming part of such detachments may be prosecuted by the Belgian judicial authorities for any offence committed in the territory of a foreign State by virtue of article 10 bis of the preliminary section of the Code of Criminal Procedure. Belgium highlighted that this would be subject to the immunities and jurisdiction privileges recognized under international law. Belgium clarified that no provision of its criminal law or criminal procedure specifically applies to the immunities and jurisdictional privileges of United Nations officials and experts on mission. Belgium provided information on relevant provisions of its Criminal Code and Code of Criminal Procedure.

Bosnia and Herzegovina

7. Bosnia and Herzegovina reiterated information previously provided (see A/72/205). Bosnia and Herzegovina also specified that the following principles are envisaged in articles 8 and 9 of its Criminal Code: territoriality, nationality, protective principle, passive personality and universality.

8. Bosnia and Herzegovina noted that there are specific situations in which certain categories of persons are not subject to criminal legislation or are subject to it conditionally. Bosnia and Herzegovina offered examples of such categories of persons: Members of Parliament, Heads of State, heads of diplomatic missions, members of diplomatic staff and international officials.

Bulgaria

9. Further to information previously reported (see A/66/174), Bulgaria provided unofficial translations of excerpts of its Criminal Code. According to articles 3 to 6 of the Bulgarian Criminal Code, the Code applies to: (a) all crimes committed in the territory of Bulgaria; (b) Bulgarian citizens for crimes committed by them abroad; (c) foreign citizens who have committed crimes of a general nature abroad, whereby the interests of Bulgaria or of Bulgarian citizens are affected; (d) foreign citizens who have committed crimes against peace and humanity abroad, whereby the interests of another State or foreign citizens have been affected; and (e) other crimes committed by foreign citizens abroad, where this is stipulated in an international agreement to which Bulgaria is a party.

Germany

10. Germany reiterated information previously reported (see A/63/260). Germany added that German law allows for the prosecution in Germany of criminal offences committed by its nationals while serving on United Nations missions. Although the German Criminal Code does not contain specific provisions on United Nations personnel, German nationals are subject to German jurisdiction if the act constitutes a criminal offence in the locality where it was committed or if that locality is not under any criminal jurisdiction. Certain types of offence, such as offences against sexual self-determination, are also subject to German jurisdiction even if the act does not constitute a criminal offence in the locality where it was committed.

11. Germany stated that there is no separate regime for soldiers in its Federal Armed Forces and that German criminal law also applies to offences committed by Federal Armed Forces personnel on missions abroad, including United Nations missions.

Greece

12. Greece reiterated information provided in previous reports (see A/63/260, A/68/173 and A/70/208). Greece stated that although it has no specific criminal

legislation covering United Nations officials and experts on mission, its criminal law adequately covers such category of persons. It added that it has no specific legislation concerning Greek military personnel when deployed to the United Nations, and thus the relevant provisions of the Greek Penal Code and Military Penal Code apply.

Latvia

13. Latvia reported that under its Criminal Law: (a) section 4 provides for extraterritorial jurisdiction over offences committed by its nationals, including persons serving in United Nations missions; (b) a Latvian national serving as a United Nations expert or official can be held liable under section 1 for an offence committed in the territory of another State or outside the territory of any State irrespective of whether it is punishable in that territory; and (c) section 4, paragraph 2, establishes jurisdiction over extraterritorial offences committed by Latvian soldiers on United Nations international missions, unless otherwise provided for in international agreements binding upon Latvia.

14. Latvia noted that the model status-of-forces agreement for peacekeeping operations provides for the exclusive jurisdiction of States over military members of their national contingents in respect of any crimes committed in the host State.

Mexico

15. Mexico reiterated information provided in previous submissions (see A/64/183 and A/65/185). Mexico added that it is in favour of States establishing jurisdiction over crimes of a serious nature committed by their nationals while serving as United Nations officials or experts on mission.

Montenegro

16. Montenegro reported that under articles 136 and 137 of its Criminal Code, the criminal legislation applies to: (a) Montenegrin nationals whenever they commit a criminal offence abroad, provided that they are found in the territory of Montenegro or are extradited to Montenegro; and (b) foreign nationals who commit crimes abroad against Montenegro or its nationals, provided that they are found in the territory of Montenegro or are extradited to Montenegro. In such cases, prosecution is only conducted if the criminal offence in question is also punishable under the law of the State in which the offence was committed, except in cases in which the Supreme State Prosecutor approves prosecution otherwise.

17. Montenegrin criminal legislation also applies to individuals who become nationals of Montenegro after the commission of the criminal offence. This provision is an attempt to preclude perpetrators from avoiding criminal responsibility when they cannot be extradited to a foreign country, except when there is an international obligation binding on Montenegro.

Netherlands

18. The Netherlands provided an unofficial translation of the applicable provisions of its Criminal Code concerning criminal accountability. According to such provisions, the Criminal Code sets forth the principles of territoriality (section 2), nationality (section 7), passive personality (section 5), protective doctrine (section 4) and universality (section 6). Section 8 of the Criminal Code is a specific rule with regard to Netherlands officials, while section 4 of the Military Criminal Code is a specific rule with regard to members of the armed forces.

Qatar

19. Qatar reiterated information previously provided (see A/70/208). Qatar clarified that any national of Qatar who is a United Nations official shall be subject to the provision of the Penal Code of Qatar, even if the alleged crime is committed outside Qatari territory.

Switzerland

20. Switzerland reiterated information provided in previous submissions (see A/63/260, A/66/174, A/67/213, A/71/167 and A/71/167/Corr.1, and A/72/205). Switzerland asserted that in order for Switzerland to be able to prosecute its own nationals serving as United Nations officials or experts on mission, the United Nations must first waive their immunity.

Turkey

21. Turkey reported that under paragraph 10 of its Penal Code, an investigation can be conducted in Turkey, irrespective of the nature of the crime, when the crime was committed by a Turkish citizen in a foreign country while the citizen was performing an official duty in the name of Turkey. Additionally, under paragraph 11 of the Penal Code, depending on the severity of the crime, an investigation can also be conducted in Turkey when a Turkish citizen commits a crime in a foreign country. As a result, Turkey exercises jurisdiction over crimes, in particular crimes of a serious nature, committed by Turkish nationals abroad and while serving as United Nations officials or experts on mission.

United Kingdom of Great Britain and Northern Ireland

22. The United Kingdom reiterated the viewpoints previously expressed (see A/71/167 and A/71/167/Corr.1) and submitted updated tables, including references to legislation covering extraterritorial jurisdiction.

III. Handling of credible allegations that reveal that a crime may have been committed by United Nations officials or experts on mission by States against whose nationals such allegations are made, and matters relating thereto

Finland

23. Finland reiterated its previous comments (see A/72/205). Finland reported that no crimes of a serious nature committed by Finnish nationals while serving as United Nations officials or experts on mission had been brought to the attention of the Finnish authorities.

Latvia

24. Latvia stated that information could be provided to the Secretary-General on the basis of a request insofar as it is not contrary to national law or prejudicial to national investigations, including the procedures stipulated in section 375, paragraph 1, of its Criminal Procedure Law.

Qatar

25. Qatar reiterated information provided in its previous submission (see A/70/208). Qatar added that according to its national records, there is no evidence that any Qatari

nationals who are also United Nations officials have committed any crimes while in service.

Switzerland

26. Switzerland reported that cases had been brought to its attention because the alleged offences, although not committed by Swiss nationals, allegedly occurred in its territory. Switzerland informed the Secretary-General of measures taken regarding such cases. In this connection, Switzerland noted that the scope of paragraphs 18 and 20 of resolution 72/112 could be broadened to include cases that have been brought to the attention of a State other than the State of nationality of the individual.

Turkey

27. Turkey explained that article 158 of its Code of Criminal Procedure provides that a report or a complaint regarding crimes committed abroad but requiring follow-up in another State may be submitted through the diplomatic channel. In relation to United Nations officials and experts on mission, relevant diplomatic missions may be notified, and such notification is submitted to the Chief Public Prosecutor's Office. Furthermore, in the light of articles 8, 10, 11, 13 and 160 of the Code of Criminal Procedure, the Chief Public Prosecutor is obliged to deal with the matter and start proceedings upon being informed that a crime has been committed by United Nations officials or experts on mission.

28. Turkey further stated, subject to the confidentiality of the investigation and principles of protection of personal data, its belief that it was an important and positive step for the Secretary-General to bring allegations to the attention of States, and for the States to provide updates on the handling of the allegations. Turkey noted that this would help to reduce impunity.

IV. Cooperation between States and with the United Nations in the exchange of information and the facilitation of investigations and prosecutions, and protection of victims and witnesses in this process

Bulgaria

29. Bulgaria reiterated information provided in its previous submission (see A/66/174) and submitted unofficial translations of: (a) chapter 36 of its Penal Procedure Code, which regulates proceedings in relation to international cooperation in criminal matters; (b) the Law on Extradition and the European Arrest Warrant; and (c) articles 67, 123 and 141 of its Penal Procedure Code, which govern victim and witness protection, including protective measures and interrogation of witnesses with secret identities.

30. Bulgaria further provided unofficial translations of two special laws on victims of crime, concerning: (a) the protection of persons endangered in connection with criminal proceedings, which provides for the conditions for ensuring special protection on behalf of the State to such endangered persons when they cannot be protected with the means envisaged in the Penal Procedure Code; and (b) the provision of support and financial compensation to victims of crimes (various types of support may be offered to victims, such as psychological and legal support, as well as financial compensation). Such legislation applies only to victims of specific crimes, such as terrorism, murder, sexual abuse and rape.

Germany

31. Germany stated that, in principle, evidence obtained from the United Nations is admissible in German criminal investigations and judicial proceedings, while its reliability and admissibility is decided by German investigating authorities and courts.

32. Germany reported that numerous legislative proposals adopted over the years have improved the position of victims in criminal proceedings. The protection of victims is foreseen in the German Code of Criminal Procedure and has been implemented under the European Union Victims' Rights Directive (directive 2012/29/EU). Victim support institutions and initiatives also exist in Germany.

Greece

33. Greece affirmed that it is ready and continuously endeavouring, in accordance with its national law, to afford every possible means of assistance, support and protection in relation to criminal investigations and criminal or extradition proceedings in respect of crimes committed by United Nations officials and experts on missions. Greece noted that it is willing to explore all ways and means of enhancing assistance and support and facilitating proceedings, bearing in mind due process considerations.

Latvia

34. Latvia noted that information exchange in criminal matters is taking place as prescribed in part C of its Criminal Procedure Law. Sections 673 and 675 of the Criminal Procedure Law address international cooperation with other States in various aspects of criminal matters and the procedures governing such cooperation between the relevant competent authorities. Latvia further explained that the Criminal Procedure Law allows the use in criminal proceedings of information provided by United Nations bodies, and that United Nations officials may be invited to take part in the criminal proceedings as witnesses or experts. Evidence acquired as a result of cooperation in criminal matters and in accordance with the criminal procedure of a foreign State is granted equivalent treatment as evidence acquired in accordance with the procedures under the Criminal Procedure Law.

35. Latvia added that victims and witnesses in cases of offences committed by United Nations officials or experts on mission are not granted a privileged status, but are subject to the applicable sections of the Criminal Procedure Law. In particular, chapter 17 of the Criminal Procedure Law provides a mechanism for the special procedural protection of persons involved in criminal proceedings whose life, health or other lawful interests are threatened.

Mexico

36. Mexico asserted that it will respond to all requests for support and assistance submitted by requesting States, either by means of a treaty or on the basis of reciprocity, concerning crimes of a serious nature alleged to have been committed by United Nations officials and experts on mission. Mexico is currently a party to 34 bilateral treaties on mutual legal assistance and extradition and several multilateral treaties on international cooperation.

37. Mexico reported that, according to the General Victims Act, all government authorities and constitutional organs, government offices, agencies and entities and public and private institutions responsible for protecting victims are required to provide victims with support, assistance and/or comprehensive reparations. The General Victims Act is informed by, inter alia, the principles of protection,

proportionality and confidentiality. It also provides for the establishment of a national victims' registry, whereby victims are granted timely and effective access to assistance, care, justice and comprehensive reparations.

Montenegro

38. Montenegro stated that it had signed the European Convention on Extradition of 13 December 1957. In this vein, in the case of a request for the extradition of a Montenegrin national for a criminal offence committed in the territory of another State, Montenegro may refuse to extradite its national. Should that be the case, Montenegro informs the authorities of the requesting State accordingly.

39. Montenegro also stated that it had signed extradition treaties with Bosnia and Herzegovina, Croatia, the former Yugoslav Republic of Macedonia, Italy and Serbia. Under those treaties, Montenegro reports that there is the possibility for a party to extradite its own nationals to another party.

Qatar

40. Qatar reiterated information previously provided (see A/70/208) and asserted that it is willing to cooperate judicially with any State that hosts United Nations operations and to exchange information pertaining to investigations, in accordance with Qatari laws.

Turkey

41. Turkey reported that it is a party to several international agreements and conventions regarding legal cooperation on criminal matters and extradition. With respect to States with which Turkey does not have an agreement, or are not party to a convention to which Turkey is a party, Turkey cooperates on the basis of reciprocity, in accordance with article 3 of Law No. 6706 on International Legal Cooperation in Criminal Matters. The law is aimed at facilitating, at the national level, the implementation of international agreements and conventions regarding legal cooperation on criminal matters to which Turkey is a party.

42. Turkey further reported that the Second Additional Protocol to the European Convention on Mutual Assistance in Criminal Matters, which regulates judicial assistance procedures, entered into force in Turkey in 2016. Accordingly, judicial assistance procedures became possible in connection with requests for the provision of evidence in relation to Member States that are also party to the European Convention on Mutual Assistance in Criminal Matters. Regarding Member States that are not party to the Convention, Turkey stated that judicial assistance requests can be addressed within the framework of existing bilateral agreements; if no such agreements are in place, requests can be addressed on the basis of the principle of reciprocity, as explained above.

43. Turkey stated that there is no obstacle to accepting information and material obtained from the United Nations for the purposes of criminal proceedings. However, Turkey noted that its Code of Criminal Procedure (Law No. 5271) has specific rules and conditions on the collection of samples from bodies of suspects and other persons for the purposes of an investigation or prosecution.

44. Additionally, Turkey stated that the Law on Witness Protection (Law No. 5726) and article 58 of the Turkish Code of Criminal Procedure (Law No. 5271) regulate witness protection. Under those laws, in order for the witness to benefit from protection measures, the crime in question should be serious to some extent, such as organized crime. Victims of crimes, who are also heard as witnesses, and their relatives enjoy the same witness protection. A witness protection board has also been

established within the Ministry of the Interior, which conducts witness protection activities. Currently, efforts are in place to finalize the draft law on victims' rights, which concerns fundamental rights granted and support services provided to victims of crimes.

V. Vetting by Member States contributing personnel to the United Nations

Germany

45. Germany reported that the Centre for International Peace Operations, which is responsible for seconding personnel to the United Nations, ensures that personnel are aware of the high standards of conduct expected of those working for the Organization.

Latvia

46. Latvia noted that soldiers who meet requirements under its Military Service Law can be conscripted or accepted into military service and that those involved in the commission of offences cannot be sent on operations. Latvia further stated that all soldiers sent on international operations undertook pre-mission training, and that its armed forces will, where necessary, ensure the vetting of personnel and send those who meet the standards set for United Nations officials and experts.

47. Latvia added that United Nations recommendations will be taken into account in relation to the participation of the National Guard volunteer force in United Nations operations, pursuant to section 14, paragraph 4, of the National Guard of the Republic of Latvia Law.

Mexico

48. Mexico noted that it does not have legislation regulating forces that are deployed to United Nations peacekeeping operations. It noted that Mexican personnel serving in peacekeeping operations abide by the standards of respect for human rights and accountability.

49. As part of the zero-tolerance measures taken in relation to sexual exploitation and abuse, a protocol to prevent, address and punish sexual harassment and sexual abuse was issued in 2016, which applies to the entire Mexican federal public administration. Mexico also reported that in 2017 it signed the voluntary compact on preventing and addressing sexual exploitation and abuse proposed by the Secretary-General.

VI. Report of the Group of Legal Experts, including on the question of future action

Finland

50. Finland asserted that it remains ready to consider a proposal for a comprehensive international legal framework to ensure that criminal conduct of United Nations officials and experts on mission is addressed.

Mexico

51. Mexico stated that an instrument on the subject would be extremely useful in establishing an international treaty regime that obliges States to prosecute or extradite

persons accused of crimes of a serious nature and to extend cooperation and mutual legal assistance to that end.

Qatar

52. Qatar noted that allowing States to hold international officials and mission members criminally accountable meant limiting the privileges and immunities enjoyed by those serving in that capacity, which would affect their neutrality and impartiality. Qatar stated that limits placed on privileges and immunities must conform to certain rules and conditions and must not allow abuses. Qatar suggested that a United Nations official be present during criminal accountability procedures and that the United Nations be informed of every act that might constitute a crime, restricting accountability to acts of a serious nature, regardless of the official's rank or title.

Switzerland

53. Switzerland reiterated the viewpoints previously presented (see A/71/167 and A/71/167/Corr.1 and A/72/205). Switzerland expressed hope to continue the discussions on the results of the study of the Swiss Institute of Comparative Law at the seventy-third session of the General Assembly, particularly in the working group of the Sixth Committee.

Turkey

54. Turkey affirmed that giving priority to the jurisdiction of the host State can be a practical solution in terms of the swift and efficient collection of first-hand evidence of the crime; this would also facilitate the protection of the evidence and prevent any possible delays. Turkey further asserted that jurisdiction is mainly established on the basis of the principle of territoriality and, in accordance with its national law, Turkish laws apply to offences committed in Turkey.

VII. Bringing credible allegations that reveal that a crime may have been committed by United Nations officials or experts on mission to the attention of the States against whose nationals such allegations are made, and matters relating thereto

55. In paragraphs 18 to 23 and 27 to 31 of its resolution 72/112, the General Assembly urged Member States to provide information to the Secretary-General, requested the Secretary-General to provide certain information to the Assembly and requested the United Nations to take certain measures concerning the issue of criminal accountability of officials and experts on mission.

Criminal conduct of personnel deployed in field missions

56. The Secretary-General continued to expect that any criminal conduct committed by United Nations staff members, or other categories of personnel deployed in field missions, would be prosecuted in a manner consistent with the gravity of the offence. That was particularly the case in instances of sexual abuse that amounted to crimes under the laws of host or sending States. Such instances would include non-consensual sexual activities and sexual activities with minors. During the reporting period, the Office of Legal Affairs referred 14 cases of credible allegations of criminal conduct involving field personnel, including seven cases of sexual exploitation and abuse, to their States of nationality (see annex I to the present report).

57. In the latest report of the Secretary-General on special measures for protection from sexual exploitation and sexual abuse (A/72/751 and A/72/751/Corr.1), the issue of the need for United Nations personnel to be held accountable for criminal offences was again raised. The Secretary-General again urged Member States to conclude the long-standing discussions on the adoption of an international convention on the issue. The Secretary-General also indicated that information would be sought from Member States regarding amendments to their administrative rules, regulations or codes governing police and military contingents to clearly recognize all forms of sexual exploitation and abuse as misconduct, where that was not already the case, and to indicate that such acts would attract the harshest available sanctions.

58. As observed in the previous report of the Secretary-General on criminal accountability of United Nations officials and experts on mission (A/72/205), peacekeeping missions continued to report, on a quarterly basis, on cooperation with host States regarding the investigation and prosecution of criminal offences committed by United Nations personnel, where applicable.

Referrals in relation to officials or experts on mission during the period from 1 July 2017 to 30 June 2018

59. The request in paragraph 18 of resolution 72/112 is similar to those made by the General Assembly in paragraph 17 of its resolution 71/134 (see A/72/205, covering the 2016/17 period), paragraph 15 of its resolution 70/114 (see A/71/167 and A/71/167/Corr.1, covering the 2015/16 period), and paragraph 9 of its resolutions 69/114 (see A/70/208, covering the 2014/15 period), 68/105 (see A/69/210, covering the 2013/14 period), 67/88 (see A/68/173, covering the 2012/13 period), 66/93 (see A/67/213, covering the 2011/12 period), 65/20 (see A/66/174 and A/66/174/Add.1, covering the 2010/11 period), 64/110 (see A/65/185, covering the 2009/10 period), 63/119 (see A/64/183 and A/64/183/Add.1, covering the 2008/09 period) and 62/63 (see A/63/260 and A/63/260/Add.1, covering the 2007/08 period).

60. During the period from 1 July 2017 to 30 June 2018, the Office of Legal Affairs referred to States of nationality, through the permanent missions concerned, 24 cases involving 25 United Nations officials and experts on mission for investigation and possible prosecution. Of those cases, 10 concerned allegations of corruption and fraud; 1 concerned allegations of corruption, fraud and drug crime; 2 concerned allegations of entitlement fraud; 6 concerned allegations of sexual exploitation and/or abuse; 1 concerned allegations of sexual exploitation and abuse and corruption; 1 concerned allegations of sexual exploitation and abuse and physical assault; 1 concerned allegations of threat of assault through the brandishing of a firearm; 1 concerned allegations of physical assault; and 1 concerned allegations of theft. Additional details regarding those cases, as required by paragraph 31 of resolution 72/112, are contained in annex I to the present report.

Information on the nature of the allegations and information received from States on all referrals since 1 July 2007

61. Annex I to the present report contains credible allegations that have been referred to States of nationality pursuant to resolution 62/63 and subsequent resolutions on the criminal accountability of United Nations officials and experts on mission. It also contains information and updates received from States in response to such referrals since 1 July 2007 and specific categories of information relating to the credible allegations referred. In addition, annex I contains the dates of follow-up requests sent by the Secretariat to such States, as requested by the General Assembly in paragraph 31 of resolution 72/112.

Updates from States on the status of their efforts to investigate and, as appropriate, prosecute cases, as well as assistance requested from the Secretariat

62. In accordance with paragraph 20 of resolution 72/112, the Secretariat requested the States to which cases were referred to keep the United Nations informed of any action taken by national authorities in relation to such cases and followed up on cases in which it did not receive a response from the Member State concerned. As of the date of preparation of the present report, the Secretariat had been contacted by States to which referrals were made during the reporting period with respect to the cases of five officials or experts on mission, noting that such cases had been raised with the relevant authorities. In three of those cases, the States concerned also requested specific assistance from the United Nations. The Secretariat remains ready to assist on all referrals made.

Notifications received from States with respect to investigations or prosecutions of crimes allegedly committed by United Nations officials or experts on mission

63. In paragraph 19 of resolution 72/112, the General Assembly requested the Secretary-General to seek, from all States that have notified the United Nations with respect to investigations or prosecutions of crimes allegedly committed by United Nations officials or experts on mission, updates on the status of their investigations or prosecutions, provided that this would not prejudice national investigations or national proceedings. In accordance with paragraphs 19 and 31 of resolution 72/112, annex II to the present report provides information on investigations or prosecutions concerning United Nations officials or experts on mission of which the Organization was notified during the reporting period, and as to which the State concerned did not object to their inclusion in the present report.

Possible use by States exercising jurisdiction of information from United Nations investigations

64. In paragraph 21 of resolution 72/112, the General Assembly requested the United Nations, when its investigations into allegations suggest that crimes of a serious nature may have been committed by United Nations officials or experts on mission, to consider any appropriate measures that may facilitate the possible use of information and material for purposes of criminal proceedings initiated by States, bearing in mind due process considerations. In the same vein, in paragraph 23 of that resolution, the Assembly urged the United Nations to continue cooperating with States exercising jurisdiction in order to provide them, within the framework of the relevant rules of international law and agreements governing activities of the United Nations, with information and material for purposes of criminal proceedings initiated by States.

65. In that regard, it is important to recall that the legal framework within which the referrals are made by the United Nations and the role of the Secretary-General have been outlined in a previous report of the Secretary-General on criminal accountability of United Nations officials and experts on mission (see A/63/260, sect. IV).

66. The United Nations cooperates with law enforcement and judicial authorities of relevant Member States in accordance with its rights and obligations under the Charter of the United Nations and the Convention on the Privileges and Immunities of the United Nations, adopted by the General Assembly on 13 February 1946, as well as other relevant international agreements and applicable legal principles. Accordingly, the Organization will disclose documents and/or information and waive immunity on a case-by-case basis where, in the opinion of the Secretary-General, immunity would impede the course of justice and can be waived without prejudice to

the interests of the United Nations. Consequently, information obtained by the United Nations may be provided to the relevant authorities and documents may be shared, subject to considerations of confidentiality and privileges and immunities. Documents may be redacted where necessary. It should be noted that, since the United Nations does not have any criminal investigative or prosecutorial jurisdiction, the use of any documents or information provided by the United Nations, including their admissibility in any legal proceedings, is a matter for determination by the relevant judicial authorities to whom such documents or information have been provided.

Protection of United Nations officials and experts on mission from retaliation

67. For information responding to paragraphs 22 and 24 of resolution 72/112, the situation remains the same as reported in prior reports of the Secretary-General (see, for example, A/70/208, paras. 34 and 35). In addition, a revised Secretary-General's bulletin on protection against retaliation for reporting misconduct and for cooperating with duly authorized audits or investigations (ST/SGB/2017/2/Rev.1) was issued on 28 November 2017.

VIII. Practical measures to strengthen existing predeployment training and vetting measures

68. Training material on conduct and discipline, including obligations for all United Nations personnel to observe the laws of host States and possible consequences of failure to observe such laws, continue to be made available for use by Member States in predeployment training of their military and police personnel. Prior to the deployment of their personnel, Member States are asked to certify that their personnel have been trained on conduct and discipline. The same training material is also used in predeployment training for United Nations staff members. In addition, all United Nations personnel, civilian and uniformed, receive induction training on conduct and discipline upon arrival in peacekeeping and special political missions. An online training programme specifically on the prevention of sexual exploitation and abuse was also launched by the Department of Field Support in September 2016. The online training programme has been mandatory for all field mission personnel since the end of 2016, and it became mandatory for all other Secretariat personnel at Headquarters and in mission locations in May 2017. The training programme covers the United Nations standards of conduct concerning sexual exploitation and abuse and consists of two mandatory courses: one for all personnel and a second, dedicated course for managers and commanders. To enhance accessibility, the programme has been made available to all categories of personnel through a number of technological platforms, and it is being translated into all official languages, as well as languages of the top troop- and police-contributing countries. The French language version of the programme will be launched by July 2018. The Department of Field Support continues to engage with Member States to incorporate the e-learning programme in predeployment training, including through blended learning initiatives in peacekeeping training centres and in Member States.

69. All personnel in peacekeeping and special political missions continue to be vetted for possible records of misconduct, including criminal conduct, during prior deployments in field missions. Member States are also asked to screen military and police personnel to be deployed in United Nations field missions for criminal records, human rights violations and disciplinary records. Member States then need to certify having conducted this screening prior to the deployment of the personnel involved. A United Nations system-wide screening database has been finalized under the auspices of the Office of the Special Coordinator on Improving the United Nations Response to Sexual Exploitation and Abuse to allow the screening of personnel for substantiated

allegations of sexual exploitation and abuse and sexual harassment. As a result of its experience in developing a data management system for misconduct in peacekeeping missions, the Department of Field Support is a key adviser and member of the working group put in place to develop and finalize the system-wide database, which was launched in June 2018.

Annex I

Additional information on the nature of the allegations and information received from States on all referrals since 1 July 2007

Case No.	*Referral year*	*Reporting period*	*United Nations entity*	*Type of crime(s)*	*Summary of allegations*	*Information received on status of investigation/ prosecution*	*Request for waiver of immunity of the individual(s) concerned?*	*Information received on jurisdictional or evidentiary obstacles*	*Follow-up date(s)*
1	2008	2007/08	UNMIL	Sexual exploitation and abuse	Alleged rape of a minor	No information received from Member State	No	No information received from Member State	None
2	2008	2007/08	UNOPS	Corruption/ fraud	Alleged procurement fraud through the execution of a bid rigging scheme	No information received from Member State	No	No information received from Member State	None
3	2008	2007/08	UNMIS	Sexual abuse	Alleged rape and physical assault of an adult	No information received from Member State	No	No information received from Member State	None
4	2009	2008/09	UNMIT	Vehicular crime	Alleged driving while intoxicated resulting in one injury and one death	Investigation was initiated by Member State. No further information was received	Yes	No information received from Member State	None
5	2009	2008/09	UNOCI	Counterfeiting	Alleged knowing possession of counterfeit currency and making of verbal threats	No information received from Member State	No	No information received from Member State	None
6	2009	2008/09	UNHCR	Corruption/ fraud	Alleged embezzlement by using a fraudulent	No information received from Member State	No	No information received from Member State	None

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					cheque to withdraw funds from a United Nations bank account				
7	2009	2008/09	UNFPA	Corruption/ fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of salary payments to a private account	No information received from Member State	No	No information received from Member State	None
8	2009	2008/09	UNOPS	Corruption/ fraud	Alleged embezzlement and fraudulent use of funds designated for donor-funded projects	Investigation initiated by Member State	No	No information received from Member State	None
9	2009	2009/10	MONUC	Smuggling	Alleged illicit purchase and smuggling of uncut diamonds	No information received from Member State	No	No information received from Member State	None
10	2010	2009/10	Secretariat	Theft/firearms violation	Alleged theft of a United Nations firearm and violation of a firearms possession law	No information received from Member State	No	No information received from Member State	None

Case No.	*Referral year*	*Reporting period*	*United Nations entity*	*Type of crime(s)*	*Summary of allegations*	*Information received on status of investigation/ prosecution*	*Request for waiver of immunity of the individual(s) concerned?*	*Information received on jurisdictional or evidentiary obstacles*	*Follow-up date(s)*
11	2010	2009/10	Secretariat	Entitlement fraud	Alleged submission of false claims for United Nations rental subsidy allowances	No information received from Member State	No	No information received from Member State	2 March 2012
12	2010	2009/10	UNIFEM	Corruption/ fraud	Alleged embezzlement through the fraudulent diversion of United Nations project assets to private accounts	Investigation and prosecution of non-United Nations personnel connected to case initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
13	2010	2009/10	Secretariat	Entitlement fraud/visa fraud	Alleged submission of fraudulent applications for visas and dependency entitlements	No information received from Member State	No	No information received from Member State	6 December 2011, response received from Member State acknowledging receipt of referral and/or seeking further information
14	2010	2010/11	MONUC	Sexual exploitation and abuse	Alleged sexual exploitation and abuse of a minor	No information received from Member State	No	No information received from Member State	2 March 2012
15	2010	2010/11	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					health insurance provider				
16	2010	2010/11	UNOCI	Physical assault/ firearms violation	Alleged physical assault and unlawful brandishing of a firearm	No information received from Member State	No	No information received from Member State	None
17	2010	2010/11	UNMIT	Theft/ corruption/ fraud	Alleged fraudulent transfer of funds to a private account using wire transfer	No information received from Member State	No	No information received from Member State	14 October 2011
18	2010	2010/11	MINUSTAH	Sexual exploitation and abuse/ physical assault	Alleged sexual exploitation and abuse of a minor; alleged physical assault of a minor	Disciplined by Member State's police. Criminal case was dismissed by national authorities	No	No information received from Member State	14 October 2011
19	2010	2010/11	UNFPA	Theft	Alleged theft of United Nations fuel	No information received from Member State	No	No information received from Member State	14 October 2011
20	2011	2010/11	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	United Nations informed of action taken by Member State

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
21	2011	2010/11	UNMIL	Corruption/ fraud	Alleged solicitation and acceptance of bribery payments	No information received from Member State	No	No information received from Member State	14 October 2011
22	2011	2011/12	UNAMI	Entitlement fraud	Alleged submission of fraudulent claims for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	3 January 2012
23	2011	2011/12	UNOCI	Corruption/ fraud	Alleged submission of fraudulent fuel invoices	No information received from Member State	No	No information received from Member State	24 July 2012
24	2012	2011/12	UNICEF	Corruption/ fraud	Alleged embezzlement of United Nations funds through the forgery of fraudulent payment vouchers	No information received from Member State	No	No information received from Member State	24 July 2012
25	2012	2011/12	UNDP	Corruption/ fraud	Alleged acceptance of bribery payments and manipulation of a procurement process	No information received from Member State	No	No information received from Member State	26 June 2012
26	2012	2011/12	UNICEF	Corruption/ fraud	Alleged embezzlement of United Nations funds through the	Investigation initiated by Member State	No	No information received from Member State	17 December 2012

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					fraudulent disbursement of salary payments				
27	2012	2011/12	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations dental insurance provider	No information received from Member State	No	No information received from Member State	19 December 2012, response received from Member State acknowledging receipt of referral and/or seeking further information
28	2012	2011/12	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations dental insurance provider	No information received from Member State	No	No information received from Member State	19 December 2012, response received from Member State acknowledging receipt of referral and/or seeking further information
29	2012	2011/12	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations dental insurance provider	No information received from Member State	No	No information received from Member State	19 December 2012, response received from Member State acknowledging receipt of referral and/or seeking further information

Case No.	*Referral year*	*Reporting period*	*United Nations entity*	*Type of crime(s)*	*Summary of allegations*	*Information received on status of investigation/ prosecution*	*Request for waiver of immunity of the individual(s) concerned?*	*Information received on jurisdictional or evidentiary obstacles*	*Follow-up date(s)*
30	2012	2011/12	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations dental insurance provider	No information received from Member State	No	No information received from Member State	19 December 2012, response received from Member State acknowledging receipt of referral and/or seeking further information
31	2012	2011/12	WFP	Corruption/ fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of salary payments	No information received from Member State	No	No information received from Member State	20 December 2012
32	2012	2012/13	MINUSTAH	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
33	2012	2012/13	UNEP	Corruption/ fraud	Alleged embezzlement of United Nations funds through the fraudulent diversion of vendor payments	No information received from Member State	No	No information received from Member State	United Nations fully recovered its losses

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
34	2012	2012/13	UNDP	Corruption/ fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of payments to private accounts	No information received from Member State	No	No information received from Member State	7 January 2013
35	2012	2012/13	UNDP	Corruption/ fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of payments to private accounts	No information received from Member State	No	No information received from Member State	7 January 2013
36	2012	2012/13	UNDP	Corruption/ fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of payments to private accounts	No information received from Member State	No	No information received from Member State	7 January 2013
37	2012	2012/13	MINUSTAH	Corruption/ fraud	Alleged solicitation and acceptance of bribery payments from individuals seeking United Nations employment	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State

Case No.	*Referral year*	*Reporting period*	*United Nations entity*	*Type of crime(s)*	*Summary of allegations*	*Information received on status of investigation/ prosecution*	*Request for waiver of immunity of the individual(s) concerned?*	*Information received on jurisdictional or evidentiary obstacles*	*Follow-up date(s)*
38	2013	2012/13	UNMIK	Entitlement fraud	Alleged submission of fraudulent claims to the United Nations for reimbursement of education expenses	Member State considered matter and confirmed it would not pursue it	No	No information received from Member State	18 April 2013
39	2013	2012/13	UNDP	Physical assault	Alleged physical assault of an adult	No information received from Member State	No	No information received from Member State	18 April 2013
40	2013	2012/13	UNRWA	Corruption/ fraud	Alleged procurement fraud and receipt of bribery payments	Investigation initiated by Member State	No	No information received from Member State	18 April 2013, United Nations informed of action taken by Member State
41	2013	2013/14	Secretariat	Attempted solicitation of minors	Alleged attempted solicitation of minors using United Nations information technology and communication resources	No information received from Member State	No	No information received from Member State	Feedback received from Member State
42	2013	2013/14	UNEP	Corruption/ fraud	Alleged fraudulent solicitation and diversion of donor funds to a private company	No information received from Member State	No	No information received from Member State	21 August 2014

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
43	2013	2013/14	UNMIL	Theft	Alleged theft of United Nations tires	No information received from Member State	No	No information received from Member State	15 January 2014
44	2013	2013/14	UNDP	Corruption/ fraud	Alleged embezzlement through the fraudulent disbursement of UNDP assets to a private account	No information received from Member State	No	No information received from Member State	4 April 2014, response received from Member State acknowledging receipt of referral and/or seeking further information
45	2013	2013/14	UNSOA	Corruption/ fraud	Alleged embezzlement through the fraudulent disbursement of United Nations assets to a private account	No information received from Member State	No	No information received from Member State	21 August 2014
46	2014	2013/14	UNEP	Corruption/ fraud	Alleged fraudulent solicitation and diversion of donor funds to a private company	No information received from Member State	No	No information received from Member State	21 August 2014
47	2014	2013/14	Secretariat	Corruption/ fraud	Alleged embezzlement of United Nations funds by forging documents to direct	No information received from Member State	No	No information received from Member State	21 August 2014

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					expenditure payments to private accounts				
48	2014	2013/14	UNEP	Corruption/ fraud	Alleged solicitation and receipt of undue financial and other benefits from donors	No information received from Member State	No	No information received from Member State	21 August 2014
49	2014	2013/14	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	10 September 2014
50	2014	2013/14	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	No information received from Member State	No	No information received from Member State	3 October 2014
51	2014	2013/14	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations	No information received from Member State	No	No information received from Member State	3 October 2014

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					computer and email account				
52	2014	2013/14	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	No information received from Member State	No	No information received from Member State	3 October 2014
53	2014	2013/14	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	No information received from Member State	No	No information received from Member State	3 October 2014
54	2014	2014/15	UNDP	Corruption/ fraud	Alleged solicitation of bribery payments in exchange for confidential information	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
55	2014	2014/15	UNDP	Corruption/ fraud	Alleged solicitation of bribery payments from United Nations partners	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
56	2014	2014/15	UNDP	Corruption/ fraud	Alleged embezzlement through the fraudulent diversion of UNDP assets to a private account	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
57	2014	2014/15	UNMISS	Corruption/ fraud	Alleged procurement fraud by posing as a United Nations procurement officer	No information received from Member State	No	No information received from Member State	16 December 2014
58	2014	2014/15	UNHCR	Corruption/ fraud	Alleged embezzlement of United Nations funds by cashing fraudulent cheques and preparing irregular financial transactions	No information received from Member State	No	No information received from Member State	28 June 2017
59	2014	2014/15	UNHCR	Corruption/ fraud	Alleged embezzlement through the fraudulent diversion of United Nations funds to a private account	No information received from Member State	No	No information received from Member State	8 September 2015

Case No.	*Referral year*	*Reporting period*	*United Nations entity*	*Type of crime(s)*	*Summary of allegations*	*Information received on status of investigation/ prosecution*	*Request for waiver of immunity of the individual(s) concerned?*	*Information received on jurisdictional or evidentiary obstacles*	*Follow-up date(s)*
60	2014	2014/15	UNOPS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	23 March 2015
61	2014	2014/15	MINUSTAH	Entitlement fraud	Alleged submission of fraudulent claims to the United Nations for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	United Nations fully recovered its losses
62	2015	2014/15	MONUSCO	Sexual exploitation and abuse	Alleged rape of a minor	No information received from Member State	No	No information received from Member State	13 May 2015
63	2015	2014/15	UNHCR	Corruption/ fraud	Alleged embezzlement of United Nations funds through the fraudulent endorsement and cashing of cheques payable to staff members	No information received from Member State	No	No information received from Member State	Response received from Member State acknowledging receipt of referral and/or seeking further information
64	2015	2014/15	UNMISS	Corruption/ fraud	Alleged embezzlement of United Nations funds through the	No information received from Member State	No	No information received from Member State	19 June 2015

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					fraudulent disbursement of salary payments				
65	2015	2014/15	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	4 June 2015
66	2015	2014/15	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	No information received from Member State	No	No information received from Member State	17 February 2016
67	2015	2014/15	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	No information received from Member State	No	No information received from Member State	17 February 2016
68	2015	2014/15	UNHCR	Corruption/ fraud	Alleged embezzlement of United Nations funds through the fraudulent withdrawal of	Criminal proceedings initiated by Member State	No	No information received from Member State	United Nations informed of action by Member State

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					vendor-designated assets				
69	2015	2014/15	MONUSCO	Sexual exploitation and abuse	Alleged sexual exploitation and abuse of a minor	Criminal proceedings initiated by Member State. Disciplinary action resulted in sanction of reduction in rank. United Nations informed Member State that it does not consider sanction commensurate with act	No	No information received from Member State	14 June 2016
70	2015	2014/15	UNHCR	Corruption/ fraud	Alleged embezzlement by using a fraudulent cheque to withdraw funds from a United Nations bank account	No information received from Member State	No	No information received from Member State	Response received from Member State acknowledging receipt of referral and/or seeking further information
71	2015	2015/16	UNFPA	Corruption/ fraud	Alleged procurement fraud by providing confidential information to a potential vendor	No information received from Member State	No	No information received from Member State	17 February 2016, response received from Member State acknowledging receipt of referral and/or seeking further information

Case No.	*Referral year*	*Reporting period*	*United Nations entity*	*Type of crime(s)*	*Summary of allegations*	*Information received on status of investigation/ prosecution*	*Request for waiver of immunity of the individual(s) concerned?*	*Information received on jurisdictional or evidentiary obstacles*	*Follow-up date(s)*
72	2015	2015/16	UNDP	Corruption/ fraud	Alleged solicitation of illicit payments from UNDP vendors	No information received from Member State	No	No information received from Member State	13 June 2016
73	2015	2015/16	UNSOA	Corruption/ fraud	Alleged solicitation and acceptance of bribery payments	No information received from Member State	No	No information received from Member State	14 January 2016, 20 May 2016, 28 December 2016
74	2015	2015/16	MONUSCO	Corruption/ fraud	Alleged procurement fraud by forging and presenting fraudulent purchase orders to potential vendors	No information received from Member State	No	No information received from Member State	17 February 2016, 16 May 2016, 21 November 2016
75	2015	2015/16	Secretariat	Corruption/ fraud	Alleged embezzlement of United Nations funds by generating fraudulent payments to private accounts	No information received from Member State	No	No information received from Member State	17 February 2016, 13 June 2016, 1 February 2017
76	2015	2015/16	UNAMID	Sexual exploitation and abuse/ physical assault	Alleged sexual and physical assault of an adult	No information received from Member State	No	No information received from Member State	23 February 2016, 28 September 2016, 30 January 2017

Case No.	*Referral year*	*Reporting period*	*United Nations entity*	*Type of crime(s)*	*Summary of allegations*	*Information received on status of investigation/ prosecution*	*Request for waiver of immunity of the individual(s) concerned?*	*Information received on jurisdictional or evidentiary obstacles*	*Follow-up date(s)*
77	2015	2015/16	UNMIL	Sexual exploitation and abuse	Alleged sexual exploitation and abuse of a minor	No information received from Member State	No	No information received from Member State	24 March 2016, 28 September 2016, 1 January 2017
78	2016	2015/16	Secretariat	Corruption/ fraud/ sexual exploitation and abuse	Alleged solicitation and acceptance of bribery payments and soliciting sexual favours; alleged sexual assault of an adult	No information received from Member State	No	No information received from Member State	20 April 2016, 4 August 2016, 1 February 2017
79	2016	2015/16	UNDP	Corruption/ fraud	Alleged solicitation and acceptance of bribery payments	No information received from Member State	No	No information received from Member State	20 April 2016, 4 August 2016, 1 February 2017
80	2016	2015/16	UNEP	Physical assault	Alleged physical assault of an adult	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
81	2016	2015/16	UNMIL	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	13 June 2016, 28 September 2016, 23 March 2017

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
82	2016	2015/16	UNAMID	Physical assault	Alleged physical assault of an adult	National authorities aware of allegations	No	No information received from Member State	13 June 2016, response received from Member State acknowledging receipt of referral and/or seeking further information
83	2016	2015/16	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	8 July 2016, 21 November 2016, 28 February 2017
84	2016	2015/16	MINUSTAH	Corruption/ fraud	Alleged solicitation and acceptance of bribery payments	No information received from Member State	No	No information received from Member State	Response received from Member State acknowledging receipt of referral and/or seeking further information
85	2016	2015/16	Secretariat	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State

Case No.	*Referral year*	*Reporting period*	*United Nations entity*	*Type of crime(s)*	*Summary of allegations*	*Information received on status of investigation/ prosecution*	*Request for waiver of immunity of the individual(s) concerned?*	*Information received on jurisdictional or evidentiary obstacles*	*Follow-up date(s)*
86	2016	2015/16	MINUSTAH	Theft	Alleged theft of United Nations fuel	No information received from Member State	No	No information received from Member State	4 August 2016, 28 December 2016, 27 April 2017, response received from Member State acknowledging receipt of referral and/or seeking further information
87	2016	2015/16	UNMIL	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	28 September 2016, 28 December 2016, 14 July 2017
88	2016	2015/16	Secretariat	Corruption/ fraud	Alleged solicitation and acceptance of bribery payments from an individual seeking United Nations employment	No information received from Member State	No	No information received from Member State	21 November 2016, 28 February 2017, 14 July 2017
89	2016	2015/16	Secretariat	Sexual exploitation and abuse	Alleged sexual abuse of an adult	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
90	2016	2016/17	UNMIL	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	21 November 2016, 28 February 2017, 28 August 2017
91	2016	2016/17	MINUSTAH	Theft	Alleged theft of prefabricated buildings	No information received from Member State	No	No information received from Member State	21 November 2016, response received from Member State acknowledging receipt of referral and/or seeking further information
92	2016	2016/17	UNOCI	Corruption/ fraud/ theft	Alleged solicitation of bribes from individuals seeking United Nations employment; alleged use of fraudulent passes to steal buckets of paint	No information received from Member State	No	No information received from Member State	28 December 2016, 23 March 2017, 7 September 2017
93	2016	2016/17	Secretariat	Entitlement fraud	Alleged submission of fraudulent documents in support of claims	No information received from Member State	No	No information received from Member State	28 December 2016, 23 March 2017, 30 October 2017

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					for education grant				
94	2016	2016/17	UNDP	Entitlement fraud	Alleged entitlements fraud, misrepresentation, forgery and false certification and misuse of UNDP resources	Investigation initiated by Member State	No	No information received from Member State	1 February 2017, 31 May 2017, 26 September 2017
95	2016	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	1 February 2017, 31 May 2017, 27 October 2017, response received from Member State acknowledging receipt of referral and/or seeking further information
96	2016	2016/17	UNMISS	Theft	Alleged theft of United Nations air conditioning units	No information received from Member State	No	No information received from Member State	1 February 2017, 31 May 2017, 27 October 2017, response received from Member State acknowledging receipt of referral and/or

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
									seeking further information
97	2016	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	1 February 2017, 31 May 2017, 27 October 2017, response received from Member State acknowledging receipt of referral and/or seeking further information
98	2016	2016/17	MONUSCO	Corruption/ fraud	Alleged fraudulent solicitation of funds for visas; alleged fraudulent misrepresentation of authority to sell United Nations vehicle	No information received from Member State	No	No information received from Member State	28 February 2017, 31 May 2017, 30 October 2017
99	2016	2016/17	UNHCR	Corruption/ fraud	Alleged fraudulent acquisition and use of a diplomatic identity card to purchase a personal vehicle	Member State indicated that it does not have jurisdiction	No	Member State indicated that it does not have jurisdiction	28 February 2017, 31 May 2017, 29 November 2017

Case No.	*Referral year*	*Reporting period*	*United Nations entity*	*Type of crime(s)*	*Summary of allegations*	*Information received on status of investigation/ prosecution*	*Request for waiver of immunity of the individual(s) concerned?*	*Information received on jurisdictional or evidentiary obstacles*	*Follow-up date(s)*
100	2016	2016/17	UNHCR	Corruption/ fraud	Alleged fraudulent acquisition and use of a diplomatic identity card to purchase a personal vehicle	No information received from Member State	No	No information received from Member State	28 February 2017, 31 May 2017, 15 December 2017
101	2016	2016/17	UNHCR	Corruption/ fraud	Alleged fraudulent request and receipt of cash payments from a bank in connection with UNHCR currency conversion transactions	No information received from Member State	No	No information received from Member State	28 February 2017, 31 May 2017, 29 November 2017
102	2016	2016/17	MONUSCO	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	23 March 2017, 7 September 2017, 15 December 2017
103	2016	2016/17	UNFPA	Corruption/ fraud	Alleged collusion with two companies to submit fraudulent procurement documents and	No information received from Member State	No	No information received from Member State	23 March 2017, 14 July 2017, 15 December 2017

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					manipulate the award of UNFPA contracts				
104	2016	2016/17	OHCHR	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	Response received from Member State acknowledging receipt of referral and/or seeking further information
105	2017	2016/17	Secretariat	Entitlement fraud	Alleged submission of fraudulent claims for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	26 April 2017, 28 August 2017, 31 January 2018, response received from Member State acknowledging receipt of referral and/or seeking further information
106	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	27 April 2017, 28 August 2017, 31 January 2018, response received from Member State acknowledging receipt of referral and/or

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
									seeking further information
107	2017	2016/17	Secretariat	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	Investigation initiated by Member State	No	No information received from Member State	Response received from Member State confirming that it does not intend to take action given ongoing investigation by another Member State
108	2017	2016/17	UN-Women	Entitlement fraud	Alleged submission of forged documents in support of an entitlement claim	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
109	2017	2016/17	UNDP	Sexual exploitation and abuse	Alleged sexual abuse of adults	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
110	2017	2016/17	MONUSCO	Corruption/ fraud	Alleged fraudulent fabrication of United Nations documents to purchase vehicle batteries, tires and stationery	No information received from Member State	No	No information received from Member State	31 May 2017, 7 September 2017, 28 February 2018

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
111	2017	2016/17	MONUSCO	Sexual exploitation and abuse	Alleged sexual abuse of a minor	Investigation/proceedings initiated by Member State	No	No information received from Member State	31 May 2017, 12 September 2017, 6 February 2018
112	2017	2016/17	UNHCR	Corruption/ fraud	Alleged fraud and corruption connected to the issuance of humanitarian services to refugees	Investigation initiated by Member State	No	No information received from Member State	14 July 2017, United Nations informed of action taken by Member State
113	2017	2016/17	UNDP	Corruption/ fraud	Alleged solicitation of illicit payments from UNDP vendors	No information received from Member State	No	No information received from Member State	31 May 2017, 28 August 2017, 28 February 2018
114	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018, response received from Member State acknowledging receipt of referral and/or seeking further information
115	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018, response

Case No	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					reimbursement to a United Nations health insurance provider				received from Member State acknowledging receipt of referral and/or seeking further information
116	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018, response received from Member State acknowledging receipt of referral and/or seeking further information
117	2017	2016/17	UNAMA	Entitlement fraud	Alleged submission of fraudulent claims for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018, response received from Member State acknowledging receipt of referral and/or seeking further information
118	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018, response

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					reimbursement to a United Nations health insurance provider				received from Member State acknowledging receipt of referral and/or seeking further information
119	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018, response received from Member State acknowledging receipt of referral and/or seeking further information
120	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018, response received from Member State acknowledging receipt of referral and/or seeking further information
121	2017	2016/17	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical	No information received from Member State	No	No information received from Member State	27 April 2018, response received from Member State

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					reimbursement to a United Nations health insurance provider				acknowledging receipt of referral and/or seeking further information
122	2017	2016/17	UNON	Assault	Alleged assault of an individual and threats with service firearm	No information received from Member State	No	No information received from Member State	28 August 2017, 29 November 2017, 31 May 2018
123	2017	2016/17	UNDP	Corruption/ fraud	Alleged theft and embezzlement of United Nations funds	Investigation initiated by Member State	No	No information received from Member State	28 August 2017, 31 January 2018, United Nations informed of action taken by Member State
124	2017	2016/17	UNHCR	Corruption/ fraud	Alleged submission of fraudulent travel invoices and embezzlement of funds	No information received from Member State	No	No information received from Member State	26 September 2017, response received from Member State acknowledging receipt of referral and/or seeking further information
125	2017	2017/18	UNOCI	Sexual exploitation and abuse	Alleged sexual abuse of a minor	No information received from Member State	No	No information received from Member State	27 October 2017, 31 January 2018, 13 April 2018

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
126	2017	2017/18	UNOPS	Corruption/ fraud	Alleged misappropriation of United Nations funds intended for a United Nations vendor	No information received from Member State	No	No information received from Member State	29 November 2017, 28 February 2018
127	2017	2017/18	Secretariat	Theft	Alleged theft of United Nations printer toner cartridges	No information received from Member State	No	No information received from Member State	29 November 2017, 28 February 2018
128	2017	2017/18	UN-Habitat	Corruption/ fraud	Alleged forgery of United Nations documents to fraudulently obtain United Nations identification and a visa	No information received from Member State	No	No information received from Member State	29 November 2017, 28 February 2018
129	2017	2017/18	UNOCI	Threat of assault	Alleged threat through the brandishing of a firearm	Investigation initiated by Member State	No	No information received from Member State	28 February 2018, United Nations informed of action taken by Member State
130	2017	2017/18	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	28 February 2018, 31 May 2018

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
131	2017	2017/18	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	28 February 2018, 31 May 2018
132	2017	2017/18	UNAMID	Corruption/ fraud	Alleged procurement fraud through forgery and the award of contracts to companies associated with relatives	No information received from Member State	No	No information received from Member State	28 February 2018, 31 May 2018
133	2017	2017/18	MONUSCO	Corruption/ fraud	Alleged forgery of United Nations travel documents and fraudulent submission to national authorities to obtain a visa	No information received from Member State	No	No information received from Member State	28 February 2018, 31 May 2018, response received from Member State acknowledging receipt of referral and/or seeking further information
134	2017	2017/18	UNHCR	Corruption/ fraud	Alleged corruption connected to the provision of humanitarian	Investigation initiated by Member State	No	No information received from Member State	28 February 2018, United Nations informed of action taken by Member State

Case No.	Referral year	Reporting period	United Nations entity	Type of crime(s)	Summary of allegations	Information received on status of investigation/ prosecution	Request for waiver of immunity of the individual(s) concerned?	Information received on jurisdictional or evidentiary obstacles	Follow-up date(s)
					services to refugees				
135	2017	2017/18	MONUSCO	Corruption/ fraud	Alleged forgery of a United Nations document and fraudulent submission to national authorities	No information received from Member State	No	No information received from Member State	29 March 2018
136	2018	2017/18	Secretariat	Corruption/ fraud	Alleged fraudulent solicitation of third party goods	No information received from Member State	No	No information received from Member State	27 April 2018
137	2018	2017/18	MONUSCO	Sexual exploitation and abuse	Alleged rape of a minor	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
138	2018	2017/18	MONUSCO	Sexual exploitation and abuse	Alleged sexual abuse of a minor	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
139	2018	2017/18	UNJSPF	Corruption/ fraud/ drug crime	Alleged trafficking and sale of drugs; alleged forgery of United Nations documentation	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed
140	2018	2017/18	MONUSCO	Sexual exploitation and abuse/	Alleged fraudulent solicitation of	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed

Case No.	*Referral year*	*Reporting period*	*United Nations entity*	*Type of crime(s)*	*Summary of allegations*	*Information received on status of investigation/ prosecution*	*Request for waiver of immunity of the individual(s) concerned?*	*Information received on jurisdictional or evidentiary obstacles*	*Follow-up date(s)*
				corruption/ fraud	sexual favours; bribery of a national official				
141	2018	2017/18	UNDP	Corruption/ fraud	Alleged customs fraud	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed
142	2018	2017/18	UNIOGBIS	Sexual exploitation and abuse/ physical assault	Alleged sexual abuse of two minors; alleged physical assault of two minors	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed
143	2018	2017/18	UNFPA	Corruption/ fraud	Alleged procurement fraud and receipt of bribery payments	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed
144	2018	2017/18	UNOPS	Corruption/ fraud	Alleged extortion of United Nations officials	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed
145	2018	2017/18	UNHCR	Sexual exploitation and abuse	Alleged sexual abuse of an adult	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed
146	2018	2017/18	MINUSMA	Sexual exploitation and abuse	Alleged sexual abuse of an adult	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed

Case No.	*Referral year*	*Reporting period*	*United Nations entity*	*Type of crime(s)*	*Summary of allegations*	*Information received on status of investigation/ prosecution*	*Request for waiver of immunity of the individual(s) concerned?*	*Information received on jurisdictional or evidentiary obstacles*	*Follow-up date(s)*
147	2018	2017/18	MONUSCO	Sexual exploitation and abuse	Alleged sexual abuse of an adult	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed
148	2018	2017/18	UNAMA	Physical assault	Alleged physical assault and domestic abuse	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed

Abbreviations: MINUSMA, United Nations Multidimensional Integrated Stabilization in Mali; MINUSTAH, United Nations Stabilization Mission in Haiti; MONUC, United Nations Organization Mission in the Democratic Republic of the Congo; MONUSCO, United Nations Organization Stabilization Mission in the Democratic Republic of the Congo; OHCHR, Office of the United Nations High Commissioner for Human Rights; UNAMA, United Nations Assistance Mission in Afghanistan; UNAMI, United Nations Assistance Mission for Iraq; UNAMID, African Union-United Nations Hybrid Operation in Darfur; UNDP, United Nations Development Programme; UNEP, United Nations Environment Programme; UNFPA, United Nations Population Fund; UN-Habitat, United Nations Human Settlements Programme; UNHCR, Office of the United Nations High Commissioner for Refugees; UNICEF, United Nations Children's Fund; UNIFEM, United Nations Development Fund for Women; UNJSPF, United Nations Joint Staff Pension Fund; UNIOGBIS, United Nations Integrated Peacebuilding Office in Guinea-Bissau; UNMIK, United Nations Interim Administration Mission in Kosovo; UNMIL, United Nations Mission in Liberia; UNMIS, United Nations Mission in the Sudan; UNMISS, United Nations Mission in South Sudan; UNMIT, United Nations Integrated Mission in Timor-Leste; UNOCI, United Nations Operation in Côte d'Ivoire; UNON, United Nations Office at Nairobi; UNOPS, United Nations Office for Project Services; UNRWA, United Nations Relief and Works Agency for Palestine Refugees in the Near East; UNSOA, United Nations Support Office for the African Union Mission in Somalia; UN-Women, United Nations Entity for Gender Equality and the Empowerment of Women; WFP, World Food Programme.

Annex II

Notifications received from States with respect to investigations or prosecutions of crimes allegedly committed by United Nations officials or experts on mission since 1 July 2016

Number[a]	*Reporting period*	*United Nations entity*	*Type of crime*	*Summary of allegations*	*Information received on status of investigation or prosecutorial or disciplinary action*	*Request for waiver of immunity of the individual(s) concerned?*[b]	*Information received on jurisdictional or evidentiary obstacles to prosecution*
1	2016/17	UN-Habitat	Sexual exploitation and abuse	Alleged sexual exploitation and abuse of minors	Investigation ongoing	Yes	No information received
2	2016/17	ICTR	Death threat	Alleged written death threats	Investigation ongoing	None	No information received
3	2016/17	Secretariat	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	Investigation ongoing	None	No information received
4	2016/17	MINUSCA/UNIFIL	Unknown	Unknown	Investigation ongoing	None	No information received
5	2016/17	MINUSTAH	Theft/gang activities/firearms violation	Alleged association with gang involved in the theft of vehicles, and violation of a firearms possession law	Investigation and/or prosecution ongoing	Yes	No information received
6	2016/17	MONUSCO	Fraud	Alleged fraudulent sale of property and issuance of bounced cheques	Investigation and/or prosecution ongoing	Yes	No information received
7	2016/17	MONUSCO	Trafficking of endangered species	Alleged trafficking of endangered species (ivory)	Convicted and sentenced	Yes	No information received
8	2016/17	United Nations Mission in Colombia	Sexual exploitation and abuse	Alleged sexual harassment of adult who rejected solicitation of sexual favours	Investigation concluded with no charges	Yes	No information received

Number[a]	*Reporting period*	*United Nations entity*	*Type of crime*	*Summary of allegations*	*Information received on status of investigation or prosecutorial or disciplinary action*	*Request for waiver of immunity of the individual(s) concerned*[a][b]	*Information received on jurisdictional or evidentiary obstacles to prosecution*
9	2016/17	United Nations Mission in Colombia	Threat of physical assault	Alleged threats made against adult upon termination of their relationship	Investigation concluded with no charges	Yes	No information received
10	2016/17	UNIOGBIS	Sexual exploitation and abuse	Alleged sexual assault and rape of minor	Investigation and/or prosecution ongoing	No	No information received
11	2016/17	UNMIL	Theft/fraud	Alleged theft of monies and fraud arising out of non-payment of debts	Investigation and/or prosecution ongoing	Yes	No information received
12	2016/17	UNMIL	Non-payment of debt	Alleged non-payment of maintenance and child support	Claim dropped by complainant	No	No information received
13	2016/17	UNMIL	Non-payment of debt	Alleged paternity dispute and non-payment of maintenance and child support	Investigation and/or prosecution ongoing	No	No information received
14	2016/17	UNMIL	Non-payment of debt	Alleged non-payment of maintenance and child support	Convicted	No	No information received
15	2016/17	UNMISS	Sedition	Alleged subversive activities involving the provision of support to opposition forces	Charges dropped	No	No information received
16	2016/17	UNMISS	Vehicular crime	Alleged road traffic accident	Charges dropped	No	No information received
17	2016/17	UNMISS	Adultery	Alleged extramarital affair	Fine paid	No	No information received

Number[a]	*Reporting period*	*United Nations entity*	*Type of crime*	*Summary of allegations*	*Information received on status of investigation or prosecutorial or disciplinary action*	*Request for waiver of immunity of the individual(s) concerned?*[b]	*Information received on jurisdictional or evidentiary obstacles to prosecution*
18	2016/17	UNAMA	Vehicular crime	Alleged road traffic accident resulting in vehicular manslaughter	Convicted and sentenced	No	No information received
19	2016/17	Department of Safety and Security	Sexual exploitation and abuse	Alleged sexual abuse of minor	Investigation ongoing	Yes	No information received
20	2016/17	IRMCT	Sexual exploitation and abuse	Alleged sexual abuse of minors	Investigation concluded with no charges	None	No information received
21	2016/17	UNFCCC	Sexual exploitation and abuse	Alleged rape of an adult	Convicted and sentenced	None	No information received
22	2016/17	Secretariat	Vehicular crime	Alleged speeding	Judgment delivered	Yes	No information received
23	2016/17	Secretariat	Vehicular crime	Alleged failure to respect pedestrian right of way and driving without a proper licence	Judgment delivered	Yes	No information received
24	2016/17	UNITAR	Vehicular crime	Alleged failure to respect right of way at intersection	Investigation ongoing	Yes	No information received
25	2016/17	UNICEF	Vehicular crime	Alleged speeding	Judgment delivered	Yes	No information received
26	2016/17	UNIFIL	Drug crime	Alleged use of illegal drugs	Investigation closed	No	No information received
27	2016/17	UNIFIL	Fraud/forgery	Alleged fraudulent use of a forged government document	Investigation and/or prosecution ongoing	No	No information received
28	2017/18	MONUSCO	Sexual exploitation and abuse	Alleged rape of minor	Investigation ongoing	Yes	No information received

Number[a]	*Reporting period*	*United Nations entity*	*Type of crime*	*Summary of allegations*	*Information received on status of investigation or prosecutorial or disciplinary action*	*Request for waiver of immunity of the individual(s) concerned?*[b]	*Information received on jurisdictional or evidentiary obstacles to prosecution*
29	2017/18	MONUSCO	Fraud	Alleged non-payment of debt	No information received	No	No information received
30	2017/18	MONUSCO	Accessory to murder	Alleged accessory to murder	Investigation and/or prosecution ongoing	No	No information received
31	2017/18	MONUSCO	Accessory to murder	Alleged accessory to murder	Investigation and/or prosecution ongoing	No	No information received
32	2017/18	MONUSCO	Death threat	Alleged death threats	Investigation and/or prosecution ongoing	No	No information received
33	2017/18	MONUSCO	Fraud	Alleged non-payment of debt	No information received	No	No information received
34	2017/18	MONUSCO	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
35	2017/18	UNIOGBIS	Vehicular crime	Alleged road traffic accident resulting in vehicular manslaughter	Investigation and/or prosecution ongoing	No	No information received
36	2017/18	UNAMA	Consumption of alcohol/ physical assault	Alleged consumption of alcohol and physical assault of police officers	Convicted and sentenced	No	No information received
37	2017/18	UNAMID	Terrorism/ threat to national security	Alleged terrorism and threatening national security	Investigation and/or prosecution ongoing	No	No information received
38	2017/18	UNMIL	Theft/ fraud	Alleged property fraud	Convicted of contempt of court; prosecution for fraud ongoing	No	No information received

Number[a]	*Reporting period*	*United Nations entity*	*Type of crime*	*Summary of allegations*	*Information received on status of investigation or prosecutorial or disciplinary action*	*Request for waiver of immunity of the individual(s) concerned*[a][b]	*Information received on jurisdictional or evidentiary obstacles to prosecution*
39	2017/18	UNMIL	Theft/ fraud	Alleged participation in sale of stolen property	Claim dropped by complainant	No	No information received
40	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
41	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
42	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
43	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown. Defendant has made partial payment of debt.	No	No information received
44	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown. Defendant has made full payment of debt.	No	No information received
45	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown. Defendant has made full payment of debt.	No	No information received
46	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
47	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown. Defendant has made partial payment of debt.	No	No information received

Number[a]	*Reporting period*	*United Nations entity*	*Type of crime*	*Summary of allegations*	*Information received on status of investigation or prosecutorial or disciplinary action*	*Request for waiver of immunity of the individual(s) concerned*[b]	*Information received on jurisdictional or evidentiary obstacles to prosecution*
48	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown. Defendant has made full payment of debt.	No	No information received
49	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown. Defendant has made partial payment of debt.	No	No information received
50	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown. Defendant has made partial payment of debt.	No	No information received
51	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown. Defendant has made partial payment of debt.	No	No information received
52	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown. Defendant has made partial payment of debt.	No	No information received
53	2017/18	UNMISS	Sexual exploitation and abuse	Alleged sexual exploitation of adults by unidentified number of personnel	Investigation ongoing	No	No information received

Number[a]	*Reporting period*	*United Nations entity*	*Type of crime*	*Summary of allegations*	*Information received on status of investigation or prosecutorial or disciplinary action*	*Request for waiver of immunity of the individual(s) concerned*[a,b]	*Information received on jurisdictional or evidentiary obstacles to prosecution*
54	2017/18	UNHCR	Vehicular crime	Alleged speeding	Judgment delivered	Yes	No information received
55	2017/18	Secretariat	Vehicular crime	Alleged speeding	Investigation ongoing	Yes	No information received
56	2017/18	UNFPA	Sexual harassment	Alleged sexual harassment of an adult	Investigation ongoing	No	No information received

Abbreviations: ICTR, International Criminal Tribunal for Rwanda; IRMCT, International Residual Mechanism for Criminal Tribunals; MINUSCA, United Nations Multidimensional Integrated Stabilization Mission in the Central African Republic; MINUSTAH, United Nations Stabilization Mission in Haiti; MONUSCO, United Nations Organization Stabilization Mission in the Democratic Republic of the Congo; UNAMA, United Nations Assistance Mission in Afghanistan; UNAMID, African Union-United Nations Hybrid Operation in Darfur; UNFCCC, United Nations Framework Convention on Climate Change; UNFPA, United Nations Population Fund; UN-Habitat, United Nations Human Settlements Programme; UNHCR, Office of the United Nations High Commissioner for Refugees; UNICEF, United Nations Children's Fund; UNIFIL, United Nations Interim Force in Lebanon; UNIOGBIS, United Nations Integrated Peacebuilding Office in Guinea-Bissau; UNITAR, United Nations Institute for Training and Research; UNMIL, United Nations Mission in Liberia; UNMISS, United Nations Mission in South Sudan.

[a] Notifications were received with regard to additional cases that Member States did not wish to be included in the present report.

[b] A formal determination of whether immunities apply in any given case is undertaken after a request for a waiver has been received from the Member State concerned. For information on United Nations practice in this regard, please refer to the report of the Secretary-General contained in document A/63/331.